



Stephen P. Gilbert

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I am a generalist who is also a patent, trademark, and copyright attorney. I have been a computer programmer, a chemical process engineer, and a consulting engineer. I was a partner in a major multi-national law firm for over two decades and left to become a sole practitioner so I could concentrate on Alternative Dispute Resolution. I love being a mediator and an arbitrator because it is sometimes emotionally challenging, usually intellectually interesting, and always highly satisfying.

For me, being an ADR neutral is an avocation, not merely a vocation. Every mediation is an opportunity for me to help others settle their differences; every arbitration is an opportunity for me to make sense of conflicting views and make serious decisions. It is a privilege for me to assist in these difficult situations. Each matter allows me to utilize a varying mix of skills and knowledge (interpersonal, organizational, analytical, legal, business, management, STEM, etc.) and requires from me an open mind, careful listening and observation, fairness, clear thinking, creativity, flexibility, patience, and hard work.

I have handled matters in every sector of the North American Industry Classification System (NAICS), including agriculture, mining, construction, manufacturing, transportation, information, finance, real estate, professional services, waste management and remediation, education services, health care, arts and entertainment, and accommodation and food services.

I am a Fellow of the College of Commercial Arbitrators, a Fellow of the Chartered Institute of Arbitrators, a Fellow of the American College of e-Neutrals, a Member of the National Academy of Distinguished Neutrals, a Member of the CPR Panel of Distinguished Neutrals, a Member/Panelist (Tech List) of the Silicon Valley Arbitration & Mediation Center, an American Arbitration Association Commercial Master Mediator, a Member of the ICDR Panel of International Mediators, and a CEDR Accredited Mediator.

A summary of my ADR and non-ADR training and experience follows. But training and experience are only part of what is important in a neutral you select for your matter. You should also ask yourself whether the candidate listens, has an open mind, is likely to work well with others (counsel, parties, and any other neutrals), is likely to be able to master the facts and relevant law, expresses himself/herself clearly and appropriately, both orally and in writing, and will not give up until he/she gets it right and gets it done timely and efficiently.

My ADR Philosophy

To Listen – the most important ability of a neutral.

An Open Mind – the most important part of a neutral.

A neutral must lead but not dictate, be firm but not overbearing, act decisively but not capriciously, and be respectful, flexible, articulate, and fair.

A neutral must earn respect and engender trust.

A neutral must understand the process and keep in mind it is the parties' process, but not let any party hijack it.

An arbitrator must understand the parties' agreement to arbitrate and know the governing rules and law and not be afraid to apply them evenhandedly and appropriately to safeguard the process. Parties deserve a fair, cost-effective, and expeditious resolution. They must be allowed to prove their claims and defenses, but there is a line separating insufficient discovery from what is appropriate and another line separating what is appropriate from the burdensome and unwarranted, there is a line separating needless motions from those that would streamline a case, and there is a line separating good faith actions from those meant to obfuscate, hinder, or delay. The arbitrator must know when to adjust those lines and how to ensure the parties do not cross them.

A mediator should have training and experience in different modes (e.g., facilitative, understanding-based) and not have a rigid, one-size-fits-all approach. Different approaches will be appropriate at different times depending on: the nature and status of the dispute; the emotional, legal, financial, and other views each of those involved has of the dispute and its possible resolution; and the nature, knowledge, and ways of processing information and making decisions each of them has. Regardless of the approach used, everyone needs to be heard and to feel he or she is being heard, both by the mediator and by the others involved. The mediator must: actively listen and understand; use careful non-judgmental questioning to identify and help the parties identify what their interests and concerns are, what they really want, and what solutions might be possible; be mindful of the stress the parties and counsel are under; keep confidences; create a safe environment so those involved feel they can speak freely (in both caucus and joint sessions); and become evaluative when appropriate and to the extent necessary.

No neutral is right for every dispute.

Summary of Training and Experience

WORK HISTORY

The Law Office Of Stephen P. Gilbert, 2011-present; Partner, Bryan Cave LLP, 1988-2010 (Co-Leader of Intellectual Property Client Service Group, 2007-2010); Partner, Stiefel, Gross, Kurland & Pavane, P.C., 1988; Associate, Hubbell, Cohen, Stiefel & Gross, P.C., 1985-88; Associate, Fish & Neave, 1978-85; Associate, Arthur, Dry & Kalish, 1976-78; Consulting Engineer, Chem Systems, Inc., 1973-76; Chemical Process Engineer, Scientific Design Co., 1969-73; Computer Programmer, International Paper Co., 1967-69 (part-time).

ADR EXPERIENCE

ARBITRATION – Provider-administered and self-administered arbitration of commercial, licensing, technology, and intellectual property disputes, including the following.

Sole panelist: Dispute concerning franchising and termination of franchise; dispute concerning funding agreement and promissory notes; dispute concerning individual contractual liability and related issues (e.g., piercing corporate veil, de facto corporation, corporation by estoppel); professional services partnership disputes; complex dispute involving approval of medical devices by FDA and entitlement to data; music licensing dispute; contract termination dispute concerning broadcast of famous television series; trade secrets disputes between start-up companies and major pharmaceutical companies; dispute concerning joint venture operating agreement under Delaware law; alleged violation of rights in “personal data” under US-Swiss Safe Harbor Framework; dispute concerning automated treasury and debt management systems; dispute concerning development and marketing of OTC drug and cosmetic products; dispute concerning veterinary pharmaceuticals, clinical trials, and rights to clinical data; dispute concerning marketing of athletic gear; dispute involving alleged violation of consumer protection laws; licensing dispute involving genetics; software development dispute; development/ownership copyright dispute involving virtual reality; patent/licensing disputes involving consumer electronics; contract dispute concerning development/marketing of genetics therapy; licensing dispute concerning analgesics; dispute involving patent enforcement entities (Non-Practicing Entities); dispute concerning valuation of intellectual property; dispute concerning allocation of legal expenses and formula for determination of royalties; dispute concerning overpayment of patent royalties for medical assays; dispute concerning under-reporting of patent royalties for electronics; dispute concerning infringement of patents for production/editing of composite videos; dispute concerning call center technology license; dispute concerning microfluidics, diagnostics, and Delaware LLC Operating Agreement; dispute concerning airport amenities/security; dispute concerning warehousing obligations; dispute concerning vaccine distribution software; dispute concerning processing of hemp and manufacture, marketing, shipment, pricing, state and FDA and DEA laws/regulations, and cGMP (current Good Manufacturing Practices) for Cannabis products and failure to pay earnout and release restricted stock; dispute concerning development, clinical trials, and manufacture of Cannabis products; dispute concerning pharmaceutical manufacturing/supply agreement and ANDAs (Abbreviated New Drug Applications) for antibiotic; dispute concerning financial/administrative services in real estate industry; dispute concerning design, manufacture,

and supply of computer printers and supplies; contract dispute concerning epigenetic testing; dispute concerning supply and installation of industrial woodworking equipment; invention development and patent ownership dispute in bioenergy field; breach of contract in communications field; breach of contract and negligence and other torts by social media enterprise; Emergency Relief Arbitrator in drug injectables licensing dispute.

Panel Chair: Dispute concerning brokerage fees for foreign currency trading; agricultural product development/supply dispute; dispute concerning quality of consulting services for obtaining FDA approvals; biotechnology dispute (with party-appointed non-neutral co-panelists); dispute concerning development/ownership of financial services software; dispute concerning telecommunications billing systems; trade secrets/contract dispute concerning military protective clothing; luxury products trademark licensing dispute; patent infringement dispute concerning software and databases; patent licensing dispute concerning compact lighting devices; music licensing dispute; multi-national patent licensing and supply dispute concerning agricultural chemicals (e.g., insecticides); dispute concerning unauthorized use/distribution of cell lines; dispute concerning breach of trademark exclusivity agreement for apparel; dispute concerning reporting and payment of royalties in clothing field; dispute concerning trademark infringement in healthcare industry.

Co-panelist: Real estate dispute concerning land use, zoning, and development; dispute concerning employment agreement in apparel industry; dispute concerning performance of wireless telecommunications network; shareholder dispute (involving alleged coercion of minority shareholders under Delaware law); funding dispute between charitable organizations; dispute concerning risk management software; development/marketing dispute involving analgesics (reasoned award rendered within four months of appointment of panel); unusually large, complex licensing/patent dispute involving cancer diagnostics; dispute concerning supply of allegedly substandard-quality meat; dispute concerning disposal of pharmaceutical waste; dispute concerning fees for intellectual property legal services; dispute concerning failure of case management software in visa/immigration field; breach of contract dispute concerning manufacture of integrated circuits; design patent infringement dispute concerning jewelry boxes; trademark licensing dispute concerning luxury items; dispute regarding alleged overpayment of royalties for software and devices; drug discovery/development dispute; copyright/tortious interference dispute involving educational testing; trademark licensing commission dispute; database maintenance dispute; commercialization, licensing, and investment dispute concerning genetic sequencing and nanotechnology; disputes concerning clothing/apparel industry; dispute concerning manufacture of semiconductors; trade secret misappropriation and trademark infringement dispute in pulp and paper industry; trade secret dispute concerning military vehicles; dispute concerning medical imaging software; dispute concerning indemnification for patent infringement; dispute concerning development and production of recombinant proteins; dispute concerning termination of cellular phone authorized representative agreement and sale of stores; dispute concerning manufacture of LED lighting devices; dispute concerning lie detector technology; dispute concerning accounting treatment of Branded Prescription Drug Fee under Patient Protection and Affordable Care Act; theft of trade secrets, patent infringement, and breach of contract concerning pharmaceutical manufacturing; trade secret and licensing dispute in water purification field; development, regulatory, manufacturing, trade secret, licensing, and patent inventorship/ownership dispute concerning cardiac (e.g., antiarrhythmic) agents; breach of contract dispute in metal additive 3D printing industry.

Issues handled: Motions in limine, to dismiss, for partial summary judgment, for intervention, for preliminary injunction, for sanctions, for a stay, and for disqualification of counsel; justiciable controversy; arbitrability/subject matter jurisdiction; “delegation”; bringing in non-signatories as parties; addition of claims/counterclaims; claim preclusion/res judicata; collateral estoppel effect of earlier court and arbitral proceedings; consolidation; effect of bankruptcy on arbitration; discovery disputes (including disputes relating to experts, discovery outside the United States, subpoenas, eDiscovery, protective orders, and discovery from third parties); scheduling disputes; equitable estoppel; burden of proof; statute of limitations; laches; waiver; choice of law; parol evidence rule; evidentiary disputes; exclusion of testimony and witnesses (including experts); qualification of experts; contested postponement of Hearing; attorney-client privilege, work product, and in camera privilege review; fraud in the inducement; negligent and intentional misrepresentation; economic loss rule; consequential v. general damages; expectation damages; reliance damages; malpractice; defamation; failure to defend; audits; breach of contract; anticipatory breach; breach of fiduciary obligations; quantum meruit; unjust enrichment; patent ownership; patent inventorship; patent validity; patent infringement; patent unenforceability; patent misuse; determination of prevailing party in multi-claim dispute; award of attorneys’ fees, costs, and interest; discovery costs prior to Award; neutrality of party-appointed arbitrators; tortious interference; measure of damages; lost profits; present worth; fair market valuation of business; fair market valuation of assets; reasonable royalty (e.g., Georgia-Pacific framework); corporate dissolution and distribution of assets. Amounts in dispute ranged up to over US\$440 million (sole panelist).

MEDIATION – Provider-administered and self-administered mediation of two-party and multi-party commercial, licensing, technology, and intellectual property disputes in which the principal issues concerned, for example, patents (e.g., for explosive devices, illumination apparatus, separation mechanisms, clothing, fibers/films/non-woven structures, automobile security systems, cleaning of underwater equipment, exercise equipment), copyrights (e.g., for architectural plans, computer files, advertising copy, website design, music, recordings, photographs, compliance materials, movies, restaurant decor), trademarks (for consumer goods, photographic goods, household furnishings, foodstuffs, beverages, jewelry, clothing, membership in environmental organization, charitable fundraising events), trade secrets (e.g., for customer lists, engineering information, pricing information, trading of luxury assets, blockchain trading platforms), bioinformatics, clinical data structuring, and molecular sequencing, loan guarantee (for real estate purchase/development), indemnification (e.g., by a licensee/sublicensor of its sublicensees), pharmaceutical marketing rights, professional services partnerships, subsidies for clinical provision of drugs, diagnostic apparatus intellectual property, digital books, aerospace technology, employment/headhunter services, party goods, over-the-counter (OTC) preparations and related intellectual property, website control/maintenance, nuclear medicine, online real estate auctions, COVID testing, dissolution of real estate partnership.

Issues in dispute: Ownership of patents, patent infringement, ownership of copyrights, copyright infringement, counterfeiting, trademark infringement, false advertising, trade defamation, cyber-piracy (concerning, e.g., domain name registration), ownership of websites, misappropriation of trade secrets, theft of artwork, fraud in the inducement, breach of fiduciary obligations, LLC operating agreements, violation of financial terms of loan, breach of mediation settlement agreement, insurance contributions, obligations of loan guarantor, bankruptcy, indemnification,

scope of contractual rights, breach of non-competition clauses, quantum meruit, unjust enrichment. Amounts in dispute ranged up to about US\$50 million.

LEGAL EXPERIENCE

Decades of experience in all aspects of intellectual property (65-70% patent, 20-25% trademark, and 5-10% copyright) and related areas (unfair competition, trade regulation/antitrust, corporate, employment, etc.), including: litigation; appeals; counseling; opinions; infringement, patentability, validity, state-of-the-art, and registrability searches and studies; oppositions; revocations; transactional and due diligence; licensing; and prosecution. Handled patent matters in many areas, including electrical (e.g., television, radar, RFID, communication satellites), information systems, business methods, Internet, website, software (e.g., financial, medical), mechanical (e.g., rotary filtration equipment, diesel engines, toys, medical devices and prostheses, box manufacturing, valves, airplane winglets), and chemical and biotech (e.g., organic and inorganic chemicals, pharmaceuticals, biologicals, monoclonal antibodies, medical assays, pulp and paper, cosmetics, polymers, and genetics). Patent litigation concerned, e.g., biodegradable plastics, blowing agents for polyurethane foams, cephalosporin antibiotics, surgical devices, sorters/collators for copying machines, wall covering systems, hard and soft contact lenses, palletizing and packaging equipment, clothing, and electrical connectors for batteries. Patent reissue, reexamination, interference, and patent term extension proceedings. Trademark and copyright matters involved, e.g., software, perfume, sporting goods, laboratory equipment, candy, gas stations, motel chains, music, books, advertising, and photographs. Handled matters involving unfair competition, advertising clearance, rights of privacy/publicity, moral rights, theft/misappropriation of trade secrets, confidentiality agreements, disclosure agreements, material transfer agreements, employment agreements, works made for hire, architectural works, US Customs, ITC Section 337, technical data export licenses, antiboycott compliance rules, and valuation of intellectual property.

PRE-LAW TECHNICAL EXPERIENCE

Seven years' experience as a chemical process design engineer and consulting engineer and three years (part-time) as a computer programmer. Most of the work as a chemical engineer concerned petrochemicals (e.g., styrene, ethylene oxide, glycols, maleic anhydride, fluorinated hydrocarbons, nylon), petroleum refinery operations, coal gasification, and cogeneration and included conceptual process design, equipment design (e.g., chemical reactors, distillation columns, heat exchangers), and economic evaluation. Helped design and supervise construction and installation of research laboratory pilot plant for synthesis of methane; designed, built, and installed large high-temperature acoustic muffler needed to permit pilot plant to operate. The work as a programmer involved a variety of languages and various machines.

ADR PANELS

ARBITRATION – American Arbitration Association: Commercial, Patent, Large Complex Case, M&A, Joint Venture, Data Security, Life Sciences, and International (ICDR) arbitration panels; International Institute for Conflict Prevention & Resolution (CPR): Biotech, Dispute Prevention, New York City ADR, Real Estate, Technology, and Trademark panels; Silicon Valley Arbitration & Mediation Center (Tech List); World Intellectual Property Organization List of Mediators and Arbitrators; New York City Bar Association Arbitration Panel for Attorney Disputes. Also listed as an arbitrator with the Chartered Institute of Arbitrators, London Court of International Arbitration, and United States Council for International Business (USCIB) of the International Chamber of Commerce.

MEDIATION – American Arbitration Association Commercial Master Mediator Panel and ICDR Panel of International Mediators; International Institute for Conflict Prevention & Resolution (CPR) mediation panel; Silicon Valley Arbitration & Mediation Center (Tech List); World Intellectual Property Organization List of Mediators and Arbitrators; U. S. District Court for the Southern District of New York mediation panel; New York City Bar Association Mediation Panel for Attorney Disputes. Also listed as a mediator with the Chartered Institute of Arbitrators, London Court of International Arbitration, and United States Council for International Business (USCIB) of the International Chamber of Commerce.

ALTERNATIVE DISPUTE RESOLUTION TRAINING

American Arbitration Association: Innovation Meets Integrity: Navigating Technology in Arbitration, 2025; Webinar: Crafting Compliant AAA Awards: Structure, Fees, and Best Practices, 2024; Managing Cases with Self-Represented Parties, 2024; Disclosure Dynamics In Arbitration, 2024; Webinar: An Introduction to Construction Dispute Avoidance and Resolution Boards: The New AAA DARB Rules, 2023; Webinar: Awarding Attorneys' Fees, Costs and Post-Award Interest in Arbitrations, 2023; Process Essentials for AAA Arbitrators, 2022; Webinar: The ICDR's International Arbitration and Mediation Rule Amendments, 2021; AAA-ICDR Panel Conference, 2021; Impartiality: Do You Know Where Your Biases Are?, 2021; Arbitrating Domestic and International Life Science Disputes, 2020; Cyber Security: A Shared Responsibility, 2020; Case Finances: What Arbitrators Need to Know, 2019; AAA/ICDR/Mediation.org Panel Conference, 2019; Arbitrator Performance and Demeanor: Meeting Participant Expectations, 2018; e-Discovery: Arbitration in a Digital World, 2018; Advocacy in Arbitration, 2017; Out of Sight, Out of Mind: What You Need to Know about Preventing and Arbitrating Business-to-Business ("B2B") Data Breaches, 2017; Red Flags & Risk Areas: Challenges to Arbitrator Authority, 2017; Using Guided Choice to Get the Most from Your Mediation, 2016; AAA/ICDR/Mediation.org Panel Conference, 2016; Society, Commerce and Dispute Resolution: Goals for Justice and Trade, 2015; Interim Awards, Partial Final Awards and the Functus Officio Doctrine: Tailoring Flexible Accessories to Suit a Strict Doctrine, 2014; What's a Respondent Like You Doing in a Place Like This? Confronting Arbitrability and Jurisdiction Issues in Arbitration, 2013; Advanced Mediator Training – Managing The Dynamics of a Multi-Party Case, 2012; Muscular Arbitration – Trimming The Fat Out Of Arbitration, 2011; Fundamentals of Effective Mediation Advocacy, 2009; Arbitrator Ethics & Disclosure, 2007; Chairing an Arbitration Panel – Managing Procedures, Process & Dynamics, 2006; Dealing With Delay Tactics in Arbitration, 2005; Arbitration Awards – Safeguarding, Deciding & Writing Awards, 2003; Arbitrator Update, 2001; Commercial

Arbitrator II Training – Advanced Case Management Issues, 2001; Commercial Arbitrator Training, 1999.

American Bar Association: Webinar: Awarding Attorney Fees, Costs and Interest in Arbitration, 2024; Webinar: The Attorney-Client Privilege: An Ethics Test, 2023; Webinar: Cannabis and the Effects on Business Relationships, 2021; Webinar: Scientific Evidence and the Post-Pandemic Jury, 2020; Webinar: Complex Technology and eDiscovery Disputes during COVID-19 & Cyber Turmoil, 2020; Webinar: e-Discovery in Arbitration v. e-Discovery in Court, 2017; Dispute Resolution Section Annual Spring Meeting, 2015; Webinar: Ethical and Effective Negotiation: What Every Lawyer Should Know About Making a Deal, 2013.

American College of e-Neutrals, “Arbitrating in a Digital World: Fair & Expeditious Management of Electronic Discovery,” June 11-12, 2015.

Association for Conflict Resolution – Greater New York Chapter: Annual Meeting, 2011-2013 & 2015-2018.

Centre for Effective Dispute Resolution (CEDR): Mediator Skills Training Programme, 2013 (CEDR Accredited Mediator).

Chartered Institute of Arbitrators: CIArb AI Guideline Launch: Essential Resources For Practitioners, 2025; Exploring Intra-Tribunal Dynamics and Decision-Making, 2016; Ethical Issues and Misconduct, 2016.

College of Commercial Arbitrators: Annual Meeting, 2011-2015, 2017-2021, 2024; Webinar: Arbitrator Disclosures and Conflicts of Interest – Developments, 2025; Webinar: Getting it “Right”: Duty and Discretion in Tracking Down the Law, 2024; Webinar: Unique Characteristics Of Construction Arbitration And Techniques To Address Them, 2024; Webinar: The Role Of The Chair Arbitrator, 2024.

Columbia University School of Law/Chartered Institute of Arbitrators: International Commercial Arbitration, May 11-15, 2015.

Fordham University School of Law: Webinar: What's Up With Tik Tok, Twitter (X), Meta, Etc.? The Law and Neuroscience of Social Media, 2024; Conference on International Arbitration/Mediation, 2011, 2013, 2016-2018, 2020; ADR Ethics in a Changing World, 2013.

ICDR: International Symposia in Advanced Case Management Issues, 2009 & 2011.

International Institute for Conflict Prevention & Resolution (CPR): Webinar: Dispute Prevention & Management Board (DPMB) Panel Training, 2024; Webinar: Case Management of Domestic and International Arbitrations: Who should control the preparation and substance of the Procedural Timetable - the Parties or the Arbitrator(s)?, 2023; Webinar: Candor, Truthfulness, and Conflicts of Interest: Ethics In Negotiation, 2022; Webinar: Imperfect Impartiality: How Neutrals Can Combat Implicit Bias, 2021; Webinar: Cybersecurity in ADR, 2021; Cross-

Cultural Mediation Program, 2016; Annual Meeting, 2011 & 2012; CPR Basic Mediation Training Program, 2010.

Juris Conferences LLC: Webinar: After the Evidentiary Hearing: The Award and Post-Award Issues, 2024; Webinars: Hear Ye, Hear Ye – Thoughts for Conducting an Effective and Successful Hearing, Parts 1 & 2, 2023; Webinar: Don't Just Go Through the Motions: How to Increase Your Chances of Obtaining Relief Through Motions In Arbitration, 2022; Webinar: Convincing Your Arbitrators to Authorize the Discovery You Really Need in Your Commercial Arbitration, 2022.

Neuroawareness Consulting Services: Neuroscience Applied to Negotiation and Dispute Resolution, 2012.

New York City Bar Association: Webinar: Cybersecurity 2024: The Threat Environment and Legal Landscape, 2024; Webinar: Negotiation Skills & Strategies for Lawyers, 2024; Webinar: Strategies for Challenging Negotiations, 2023; Webinar: Electronically Stored Information (ESI) & Remote Lawyering, 2020; Webinar: Selected Ethics Issues In Mediation & Settlement Negotiations, 2020.

New York International Arbitration Center: Life Sciences Webinar Series: types of agreements, drafting arbitration clauses, experts, etc., 2020; Arbitration Technopolis: Tips, Tools, And Technology To Support A Modern Arbitration Practice, 2017.

New York Law School: Webinar: Culture: What Is It? How Does It Influence Negotiation and Mediation?, 2023; Webinars: "Cutting Edge Issues In Commercial Arbitration," April 2021 & May 2020.

New York State Academy of Trial Lawyers: Webinar: This Is Not Your Father's AI: Newest Uses Of AI In Law Practice, 2025; Webinar: Cyber Security, Ethics, and the Current State of Data Privacy, 2024; Webinar: Common Calculations: The Value of an Economist, 2024; Webinar: The Ethical Edge of Aggressive Litigation, 2024; Webinar: 2024 Annual Update – Ethics, 2024; Webinar: 2024 Annual Update – Evidence, 2024; Webinar: Amygdala Hijack: Saving Your Witness from Themselves Using Neuroscience, 2022.

New York State Bar Association Dispute Resolution Section: Annual Meeting, 2012, 2014-2019 & 2022; Webinar: Biased? Me? A Study of Implicit Bias: How Psychology & Biology Affect Our Opinions And Actions, 2024; Webinar: Cutting Edge Ethics Issues When Dealing With New Technologies, 2024; Webinar: Sanctions - When Should They Be Imposed in Arbitration & in What Form, 2023; Webinar: Cybersecurity: New Habits and Tips for 2023; Webinar: Tough Calls And Recurrent Issues In Arbitration: Solutions From Experts, 2022; Webinar: Nonparty Discovery in U.S. Arbitrations: Legal Litigation Challenges and Differences, 2022; Webinar: Best Practices - Roundtable on Different Approaches to Arbitration, 2021; Webinar: Closing the Deal: Overcoming Apparent Impasses in a Mediation, 2021; Webinar: Effective ZOOM Mediation, 2021; Webinar, Enforcing International Arbitration Awards Under The NY Convention, December 2020; Webinar: Early Dispute Resolution: Slashing The Time And Cost To Resolve Disputes, May 2020; Webinar: When Arbitration Goes to New York Courts: Recent

Developments in the Recognition and Enforcement of Arbitral Awards, 2017; Dispute Resolution for 2013 and Beyond, 2013; New and Improved ADR Techniques for the Modern American Lawyer, 2012.

Silicon Valley Arbitration & Mediation Center: Annual Meeting, 2019; Webinar: Arbitrating Patent Disputes - The First Phases, 2017; Webinar: Arbitrating Patent Disputes - The Final Phases, 2017.

The Center For Mediation In Law: Advanced Seminar – Collaborative/Mediation Support & Development, 2011-2014; Seminar – Self-Reflection in Action for Conflict Professionals, 2012; Mediation Intensive Training, 2010.

U. S. District Court for the Southern District of New York: Mediator Practice Group meetings, 2016-2025; Webinar: Litigation Interest and Risk Assessment, 2020; Discussion Series, Getting Past Impasse, 2015; Mediation Skills Workshop for Judges & Mediators, 2013; Refresher Training for Mediators, 2010 & 2012.

USCIB–ICC: Arbitrating B2B Data Breaches, 2018.

Veritext: Webinar: Remote Proceedings, 2021.

WIPO: Webinar: Standard Essential Patents (SEP): Use of Alternative Dispute Resolution for SEP Disputes, 2022.

Various other ADR and related training (e.g., New York City Bar Association: Webinar: A Practical Guide To Smart Contracts And Their Technology, 2018; Thomson Reuters: Webinar: Predictive Coding Primer, 2018; Webinar: New Amendments To Federal Rules of Evidence, Rule 902, 2017.

PROFESSIONAL LICENSES

Admitted to the Bar: New York (1976) and District of Columbia (1990); U.S. District Court: Southern (1977) and Eastern (1981) Districts of New York; U.S. Court of Appeals: Seventh (1980) and Federal (1982) Circuits; Registered Patent Attorney, U.S. Patent and Trademark Office (1976); U.S. Supreme Court (1980).

PROFESSIONAL ASSOCIATIONS

Fellow, College of Commercial Arbitrators (CCA)

Fellow, Chartered Institute of Arbitrators (CIArb)

Fellow, American College of e-Neutrals (ACESIN)

Member, National Academy of Distinguished Neutrals (NADN)

Member/Panelist (Tech List), Silicon Valley Arbitration & Mediation Center (SVAMC)

Member, New York International Arbitration Center (NYIAC)

Member, London Court of International Arbitration North American Users' Council (LCIA)

Member, International Institute for Conflict Prevention & Resolution (CPR)

Member, Association for Conflict Resolution – Greater New York Chapter (ACR-GNY)

Member, American Bar Association (ABA)

Member, New York State Bar Association (NYSBA)

Member, Association of the Bar of the City of New York (NYCBA)

Member, New York Intellectual Property Law Association (NYIPLA)

EDUCATION

BSChE (Bachelor of Science in Chemical Engineering), Columbia University School of Engineering and Applied Science, 1968

MSChE (Master of Science in Chemical Engineering), Columbia University School of Engineering and Applied Science, 1971 (master's degree thesis proposed and evaluated a method for predicting thermodynamic activity coefficients)

JD (Juris Doctor), Fordham University School of Law, 1975

PUBLICATIONS AND SPEAKING ENGAGEMENTS

Co-Author, “Arbitrating Technology Cases: Considerations for Businesspeople and Advocates,” chapter in ADR ADVOCACY, STRATEGIES, AND PRACTICE FOR INTELLECTUAL PROPERTY AND TECHNOLOGY CASES (3 ed.), American Bar Association (forthcoming 2025).

Co-Presenter, “Ethics For Neutrals: Recognizing The Ethics Issues That Might Slip Under Your Nose—Part II,” New York State Bar Association, Dispute Resolution Section (March 2025).

Co-Presenter, “Ethics For Neutrals: Recognizing The Ethics Issues That Might Slip Under Your Nose—Part I,” New York State Bar Association, Dispute Resolution Section (December 2024).

Co-Presenter, “Not Business as Usual: Techniques for Resolving Life Science Disputes,” Webinar: Silicon Valley Arbitration & Mediation Center (December 2020).

Author, “Strategic Planning for Contract-Based Disputes,” New York State Bar Association (Corporate Counsel Section), *INSIDE*, vol. 38, no. 1, pp. 36-40 (Spring 2020).

Author, “Third-Party Arbitration Summonses: Some Helpful Practice Pointers,” American Bar Association, Section of Litigation, Alternative Dispute Resolution, <https://www.americanbar.org/groups/litigation/committees/alternative-dispute-resolution/articles/2019/summer2019-third-party-arbitration-summonses-some-helpful-practice-pointers/> (May 23, 2019).

Co-Presenter, “Smarter, Faster, And Cheaper—Can You Get It All In Tech Arbitration?” Silicon Valley Arbitration & Mediation Center, Annual Meeting (April 2019).

Co-Presenter, “Workshop As To Notable Recurring Areas Requiring Close Attention,” Arbitration 2019: What Parties And Their Counsel Have A Right To Expect And Arbitrators Should Be Delivering, New York State Bar Association (March 2019).

Author, “Dealing With Experts And Expert Evidence In Commercial Arbitration,” New York State Bar Association, *NEW YORK DISPUTE RESOLUTION LAWYER*, vol. 12, no. 1, pp. 10-13 (Spring 2019).

Author, “Dealing With Damages In Commercial Arbitration,” *DISPUTE RESOLUTION JOURNAL*, vol. 73, no. 3, pp. 67-107, American Arbitration Association (December 2018).

Moderator/Co-Presenter, “Strategic Planning for Technology Development, Licensing, Distribution and Outsourcing and Copyright, Trade Secret and Patent Disputes,” Smarter, Faster, Cheaper Technology Dispute Resolution Conference, Silicon Valley Arbitration & Mediation Center (November 2018).

Moderator/Co-Presenter, “Damages In Commercial Arbitration,” College of Commercial Arbitrators Annual Meeting (October 2018).

Co-Author, “Mediation Best Practices Guide For In-House Counsel: Make Mediation Work For You,” CPR Institute (2018).

Author, “The Arbitrator’s Proposal,” New York State Bar Association, *NEW YORK DISPUTE RESOLUTION LAWYER*, vol. 10, no. 2, pp. 24-30 (Fall 2017).

Co-Author, “Arbitrating Technology Cases: Considerations for Businesspeople and Advocates,” chapter in *ADR ADVOCACY, STRATEGIES, AND PRACTICE FOR INTELLECTUAL PROPERTY AND TECHNOLOGY CASES*, American Bar Association (2017).

Author, “Arbitration In The Life Sciences/Biotech/Pharmaceutical/Medical Device Field,” The College of Commercial Arbitrators website, <http://www.ccaarbitration.org/wp-content/uploads/Life-Sciences-Pharmaceutical-Medical-Device-Field.pdf> (2017).

Author, “Removing Anger in a Mediation Allowed Parties to Settle,” The Blog of The CPR Institute, <https://blog.cpradr.org/2017/04/12/removing-anger-in-a-mediation-allowed-parties-to-settle/> (April 12, 2017).

Presenter, “Mediation: Principles, Process, And Strategies For The Mediator,” New York State Bar Association, Dispute Resolution Section, Mediation Committee (June 2016).

Co-Presenter, “Dispute Resolution for IP Claims: Lessons and Strategies,” Law Seminars International, Tenth Annual Conference on “Advanced Concepts in Licensing IP and Technology” (August 2015).

Co-Presenter, “Awarding Attorneys’ Fees: The Art And The Science,” American Bar Association, Dispute Resolution Section, 17th Annual Spring Meeting (April 2015).

Co-Presenter, “Ethics in Mediation: Lessons for Neutrals and Advocates,” LAWLINE “Bridging the Gap” CLE Presentation (December 2014).

Co-Presenter, “Principles on which to Anchor Awards of Attorneys’ Fees and Costs in Arbitration: The Art and the Science,” College of Commercial Arbitrators Annual Meeting (November 2014).

Co-Author, “Guidance Note: Arbitration And Social Media,” <https://ciarbny.org/wp-content/uploads/Social-Media-Guidance-Note-Final062015.pdf> (August 15, 2014).

Profiled in International Institute for Conflict Prevention & Resolution, ALTERNATIVES, vol. 32, no. 2, pp. 35-36, “Featured Panels: Technology Panel” (February 2014).

Author, “Legal Malpractice Claims Must Be Arbitrated; Arbitration Clause Not Unconscionable,” American Bar Association, Section of Litigation, Alternative Dispute Resolution, <https://apps.americanbar.org/litigation/committees/adr/practice.html> (January 10, 2014).

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Guest lecturer on intellectual property in graduate school marketing courses.