

Dr. Katherine Simpson, Esq.

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Dr. Katherine Simpson, Esq., FCIArb. is a full-time independent Arbitrator with a global practice. She has experience in business, commercial, consumer, employment, U.S. labor, and investment disputes valued at up to US\$ 2.6 billion. She is a panel member with many leading arbitration institutions and an Academic Affiliate at the University of Michigan. Her broad subject-matter expertise enables her to identify, address, and manage the variety of issues that may present in an arbitration. She publishes and speaks frequently on topics related to alternative dispute resolution (ADR), Environmental-Social-and-Governance (ESG) issues, equality, and artificial intelligence (AI).

Qualifications & Peer Recognition

Dr. Simpson is a U.S.-qualified attorney (Maryland, New Jersey). She is a Fellow of the Chartered Institute of Arbitrators (FCIArb) and of the College of Commercial Arbitrators (CollArb) and a Member of the National Academy of Distinguished Neutrals (NADN). Her diversity efforts were twice short-listed for Global Arbitration Review's (GAR) Equal Representation in Arbitration Pledge Award.

Panel Memberships

- Registered with London Court of International Arbitration (LCIA)
- Registered with the Stockholm Chamber of Commerce (SCC)
- Registered with United States Council for International Business (USCIB) for International Chamber of Commerce (ICC) appointments
- American Arbitration Association, International Centre for Dispute Resolution (AAA/ICDR), Puerto Rico Panel, Consumer, Employment, Commercial, and International (ICDR) Panels
- Chartered Institute of Arbitrators, Business Appointment Service & Pandemic Business Disruption Panels
- Financial Industry Regulatory Authority (FINRA)
- International Institute for Conflict Prevention and Resolution (CPR), Panels of Distinguished Neutrals: Banking Accounting and Financial Services, Pro-Bono, Health Care and Life Sciences, Detroit ADR, Employment Disputes-Midwest, Energy, Oil & Gas, Cross-Border
- Federal Mediation and Conciliation Service (FMCS), Arbitrator Panel
- Court of Arbitration for Art (CAfA), Arbitrator Panel

Education

- University of Cologne, Dr. iur., magna cum laude, international banking law
- University of Cologne, LL.M. oec., summa cum laude, international labor, social, and economic law
- University of Baltimore School of Law, J.D., international and comparative law
- University of Bonn, Masters, European (Integration) Studies
- Ohio University, B.A./M.A. in Political Science and International Relations

Languages

- English (native)
- German (fluent, legal)
- French (reading)

Arbitration Expertise

- **Commercial contracts:** payment, pricing, defective deliverables, fraud, UCC, warranties
- **Construction:** oil and gas exploration, mining, delay damages
- **Corporate mergers, acquisitions, dissolutions, shareholder disputes:** reps and warranties, post-closing adjustments, earn-outs entitlement, asset valuation, fraud
- **Employment:** COVID-19, wage & hour, reasonable accommodations, bullying, retaliation, collective actions, discrimination (age, ethnicity, gender, national origin), whistleblower
- **Energy:** oil & gas exploration, electricity production, pricing and distribution, service contracts, photovoltaic/solar, hydraulic fracturing (fracking), subsidies, environmental, state-owned entities, public-private partnership, investor-state
- **Environmental:** social license to operate, water pollution, infrastructure disputes, state-owned and state-affiliated entities, environmental assessments, public-private partnership
- **Financial matters:** financial inclusion, consumer protection, duty of care, investor-state
- **Hospitality:** travel interruption due to COVID-19, construction and permits, cruise, consumer, peer-to-peer exchange, insurance, package holidays (*Sole Arbitrator*)
- **Intellectual Property:** IP licensing, R&D, ownership disputes, patent infringement, trade secret misappropriation, trademark infringement, FRAND licensing
- **International Commercial:** experience in cases administered by the AAA International Centre for Dispute Resolution (AAA-ICDR) (*Sole Arbitrator*) and complex cases conducted pursuant to the rules of the International Chamber of Commerce (ICC), London Court of International Arbitration (LCIA), Dubai International Arbitration Centre (DIAC), the German Institution of Arbitration (DIS), and the Chinese European Arbitration Centre (CEAC) (*Tribunal Legal Assistant / Tribunal Secretary*)
- **Investor-State:** hospitality, renewable energy, mining, oil & gas, construction, financial services, subsidies, concessions, social license to operate, most-favored nation (MFN) treatment, allegations of expropriation. Experience includes *ad hoc* (UNCITRAL Rules, 2010) and administered disputes conducted pursuant to the rules of the International Centre for the Settlement of Investment Disputes (ICSID Rules) and the Stockholm Chamber of Commerce (SCC).
 - Examples: ICSID Case No. ARB 15/36 (*Operafund v. Spain*), ARB 14/21 (*Bear Creek v. Peru*), ARB 13/35 (*UP v. Hungary*), ARB 13/12 (*Van Riet v. Croatia*), SCC Case No. V 116/2010 (*Stati v. Kazakhstan*)
- **Labor (US)** (*assisted as Associate Arbitrator with Arb. Homer C. La Rue*): mass actions (up to 1,700 potential grievants), FLSA, COVID-19 policies and payments, telework, CBA mediation involving a municipality, interest arbitration, military, maritime
- **Partnerships and Joint Ventures:** payments and ownership, accounting, non-compete, mismanagement and misappropriation, fiduciary duties, dissolution, cross-border (*Sole Arbitrator*)
- **Pharmaceuticals, Life Sciences:** product testing, product development, royalties, distribution, marketing, pricing, rebates, licensing, recall
- **Securities:** fraud, customer/broker/adviser disputes, private placements, churning
- **Sport** (*assisted as Associate Arbitrator with Arb. Homer C. La Rue*): doping allegations
- **Telecommunications:** carrier services, FRAND licensing disputes, post M&A disputes

Academic & Teaching Experience

- Academic Affiliate at the University of Michigan in Ann Arbor, Michigan, US, leading research on ADR, investor-state dispute resolution, and equality initiatives (2016 – Present).
- Adjunct Professor at the University of Michigan Law School in Ann Arbor, Michigan, teaching International Commercial Arbitration and Legal Research and Analysis in American Law (2016 – 2020)
- Lecturer and Moderator, “International Arbitration 2021” (June 2021), Practising Law Institute (“PLI”), International Arbitration 2021
- Visiting Professor at University of Bucharest, Bucharest, Romania, teaching evidence (2015, 2016)
- Lecturer, Coach, Graduate Research Assistant at University of Cologne, Cologne, Germany, coaching the Willem C. Vis, Telders, and FDI Moot court teams for international, English-language competitions (2009 – 2014)

Professional Activities & Memberships

- Vice Chair, Board of Directors of the Ray Corollary Initiative (RCI), Inc.
- Chair, Women in Dispute Resolution (WIDR) Committee of the American Bar Association (ABA) Dispute Resolution Section
- Fellow, College of Commercial Arbitrators (CCA)
- Fellow, American Bar Foundation (ABF)
- Fellow, Chartered Institute of Arbitrators (FCIArb) (North America and London)
- Member, National Academy of Distinguished Neutrals (NADN)
- Member, American Bar Foundation
- Member, Atlanta International Arbitration Society
- Member, International Council for Commercial Arbitration (ICCA)
- Member, Energy Arbitration Club (formerly Oil & Gas Arbitration Club)
- Member, ArbitralWomen
- Member, International Institute for Conflict Prevention and Resolution (CPR)

Contact Dr. Katherine Simpson, Esq.

For calendar inquires and arbitration appointments, or to obtain copies of recent publications, schedule a speaking engagement, or book a mock arbitration, please contact Dr. Simpson through her US office, Simpson Dispute Resolution, Inc., at info@simpsonadr.net

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For calendar inquires and arbitration appointments in matters related to the United Kingdom, please contact Dr. Simpson through 33 Bedford Row Chambers, at clerks@33br.co.uk, or directly through her Clerk, Mark Byrne: markb@33br.co.uk