Brian G. Mooney, Esq. The Mooney Firm, PLLC 4300 W Cypress Street, Suite 825 Tampa, Florida 33607 (813) 877-1800

Education: University of Notre Dame, Notre Dame, Indiana Double Major, Accounting and Philosophy 1985 University of Florida School of Law 1988 Work History: Owner, The Mooney Firm, PLLC, Tampa, FL 2016-present Partner, Almerico & Mooney, Tampa, FL 1993-2016 Co-founder, StarTrust Management, Inc., Tampa, FL 1999-2011 Partner, Mooney & Riley, Tampa, FL 1990-1993 Associate, Butler & Burnette, Tampa, FL 1988-1990 **Experience:** Litigation attorney with extensive experience in securities litigation, commercial litigation, insurance defense and personal injury. First employment as an attorney was with the regional insurance defense law firm of Butler & Burnette in Tampa, Florida. Formed the law firm of Mooney & Riley in 1990 and practiced in the areas of securities litigation and commercial litigation. In June of 1995, co-founded Almerico & Mooney, an "AV" rated law firm in Tampa, Florida. At Almerico & Mooney, developed an active ADR practice with an emphasis on securities, investment and complex commercial arbitration and mediation. Established The Mooney Firm, PLLC in 2016 which is a nation-wide, ADR-only practice. Extensive experience in mediation and arbitration. Mediated over 1,000 cases, including FINRA matters, federal court cases, NFA matters, and trial-level state court matters, in 36 states, Puerto Rico and in the District of Columbia. Appointed to dozens arbitration panels for FINRA (formerly NASD and NYSE), AAA and cases referred to arbitration out of Federal Court. Outside the practice of law, co-founded StarTrust Management, Inc. and represented Major League Baseball players and NFL football players as a union certified player agent from 1999-2011. Negotiated numerous high dollar contracts for both NFL and MLB players, as well as represented numerous high round draft selections. Also represented players in MLBPA player salary arbitration as well as NFL players in NFL disciplinary proceedings. **Boards:** Board of Directors of Sachem, Inc. in Austin, Texas (1994 - Present) and chair of the Human Resources Committee and member of the Finance Committee.

Tampa Jesuit High School Board of Trustees (1998 – Present) Catholic Education Foundation, Diocese of St. Petersburg, FL (1997-2000)

Memberships:	 Member of the Florida Bar and its Alternative Dispute Resolution and Trial Lawyers sections. Member of the American Bar Association and its Dispute Resolution and Litigation sections. Member of the Federal Bar Association for the Middle District of Florida. Member of the Hillsborough County Bar Association and its Mediation and Arbitration and Securities Law sections. Former member of the Academy of Florida Trial Lawyers (1990 – 2000) and the Association of Trial Lawyers of America (1990 – 2000). Former member of the Public Investors Arbitration Bar Association (1994 -1997).
Alternative Dispute Resolution Experience:	 Extensive experience in mediation and arbitration. Arbitrator for AAA, FINRA and the National Futures Association. Chaired over 20 arbitrations to award and acted as a non-chair on numerous other cases. Active on the mediator rosters of FINRA, AAA and the Federal Court for the Middle District of Florida. Court appointed arbitrator/mediator for the for the Federal Court for the Middle District of Florida. Experience in arbitrating and mediating a broad range of cases, including cases involving: Commercial contracts – mergers and acquisitions, asset purchase agreements, inventory disputes, accounting disputes, GAAP disputes, civil theft, declaratory relief and contact reformation, and other causes of action. Employment matters – breach of employment contract, wrongful termination, defamation, discrimination, retaliatory conduct, and other causes of action. Financial and insurance matters – suitability, fiduciary duty, negligence, annuity disputes, life insurance, and other causes of action. Securities matters – breach of fiduciary duty, negligence, fraud on the market, suitability, churning, fraud, unauthorized discretion, misrepresentations and/or omissions, civil RICO, civil theft, specific causes of action under state and federal law (10b-5), and other causes of action.
Speaking Engagements:	Securities Roundtable, Hillsborough County Bar Association A Mediator's Perspective, Raymond James & Associates An Arbitrator's Perspective, Raymond James & Associates SIFMA Practitioner's Panel
Locale:	Tampa, FL, United States of America. Willing to travel within the United States.